#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DE JONG et al.

Serial No.: 10/086,745

Filed: Febr

February 28, 2002

Confirmation No.: 8781

For: METHODS FOR DELIVERING

NUCLEIC ACID MOLECULES INTO CELLS AND ASSESSMENT THEREOF

Art Unit: 1636

**Examiner: Unassigned** 

#10

RECEIVED 1500/2900 OZ OCT 30 AM II: 36

# INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202 RECEIVED

OCT 3 1 2002

**TECH CENTER 1600/2900** 

#### Dear Sir:

Since this Information Disclosure Statement is filed before the receipt of a first Office Action on the merits for the above-captioned application, no filing fee is due. If it is determined that a fee is due, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. The Forms PTO-1449 (10 pages) are provided herewith in connection with the above-captioned application. The references listed on the Forms PTO-1449 marked with an asterisk are <u>not</u> provided herewith as they have been previously provided or cited by the Examiner in connection with U.S. Serial No. 09/815,981, which is relied upon for an earlier filing date in accordance with 35 U.S.C. §120.

U.S.S.N. 10/086,745 de Jong *et al.* Information Disclosure Statement

The documents listed on the Forms PTO-1449 and supplied herewith are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Applicant also makes known to the Examiner the following U.S. applications which are commonly owned and/or have one or more inventors in common.

Filing Date	Docket No.
05/09/91	24601-401EP
06/12/98	24601-402A
11/28/00	24601-402E
11/28/00	24601-402F
11/28/00	24601-402G
04/17/01	24601-402H
03/22/01	24601-4021
04/17/02	24601-402J
05/16/02	24601-402K
05/16/02	24601-402L
08/14/02	24601-402M
04/10/97	24601-402EP
03/22/01	24601-416
03/22/01	24601-416B
02/28/02	24601-416C
03/22/02	24601-416PC
05/30/02	24601-419
05/30/02	24601-419PC
05/30/02	24601-420
05/30/02	24601-420PC
05/01/02	24601-P426
	05/09/91 06/12/98 11/28/00 11/28/00 11/28/00 04/17/01 03/22/01 04/17/02 05/16/02 05/16/02 05/16/02 04/10/97 03/22/01 03/22/01 02/28/02 03/22/02 05/30/02 05/30/02 05/30/02

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a

U.S.S.N. 10/086,745 de Jong *et al.* Information Disclosure Statement

search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing reference and information and that they be made of record in the file history of the above-captioned application.

Respectfully submitted,

HELLER EHRMAN/WHITE & McAULIFFE LLP

By:

Stephanie L. Seidman Registration No. 33,779

Dated: October 29, 2001 Attorney Docket No. 24601-416C

Attorney Docket No. 24601-416C

Address all correspondence to:

Stephanie L. Seidman, Esq.

Heller Ehrman White & McAuliffe LLP

4350 La Jolla Village Drive

San Diego, California 92122-1246

Telephone: (858)450-8403 Facsimile: (858)587-5360 EMAIL sseidman@HEWM.com

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

DE JONG et al.

Serial No.:

10/086,745

Filed:

February 28, 2002

Confirmation No.: 8781

For:

METHODS FOR DELIVERING

NUCLEIC ACID MOLECULES INTO

CELLS AND ASSESSMENT

**THEREOF** 

Art Unit: 1636

Examiner: Unassigned

#### TRANSMITTAL LETTER

**RECEIVED** 

Commissioner for Patents U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

OCT 3 1 2002

**TECH CENTER 1600/2900** 

Dear Sir:

Transmitted herewith are an Information Disclosure Statement, Forms PTO-1449 (10 pages) and cited references for filing in connection with the above-identified application.

> (X) The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 50-1213. A duplicate of this sheet is enclosed.

> > Respectfully submitted, HELLER EHRMAN WHITE & McAULIFFE LLP

By:

Stephane L. Seidman Registration No. 33,779

Date: October 29, 2002

Attorney Docket No. 24601-416C Address all correspondence to: Stephanie L. Seidman, Esq.

HELLER EHRMAN WHITE & McAULIFFE LLP

4350 La Jolla Village Drive, 7th Floor San Diego, California 92122-1246

Telephone: (858) 450-8400 Facsimile: (858) 587-5360 EMAIL: sseidman@hewm.com

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 10/086,745				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.				
STATEMENT	FILING DATE	GROUP			

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	Ref. No.		D	осим	ENT N	IUMBI	ER	-	DATE	NAME	CLASS	SUB CLASS	FILING DATE
*	Α	4	8	9	7	3	5	5	01/30/90	Eppstein <i>et al.</i>	435	240.2	10/29/87
*	В	4	9	3	7	1	9	0	06/26/90	Palmenberg <i>et al.</i>	435	69.1	10/15/87
*	С	5	2	0	8	0	6	6	05/04/93	Fujisaki <i>et al</i> .	427	96	04/30/92
*	D	5	2	8	8	6	2	5	02/22/94	Hadlaczky	435	172.2	09/13/91
*	Е	5	3	1	8	5	1	5	06/07/94	Wilk	604	30	08/17/92
*	F	5	3	3	4	7	6	1	08/02/94	Gebeyehu <i>et al.</i>	564	197	08/28/92
*	G	5	3	8	9	0	6	9	02/14/95	Weaver	604	21	09/17/93
*	Н	5	5	0	1	6	6	2	03/26/96	Hofmann	604	20	09/12/94
*	1	5	5	0	7	7	2	4	04/16/96	Hofmann <i>et al</i> .	604	53	01/21/94
*	J	5	5	5	0	2	8	9	08/27/96	Eppstein <i>et al.</i>	564	293	04/03/95
*	К	5	6	7	6	1	5	1	10/14/97	Yock	128	662.06	12/03/93
*	L	5	6	9	5	9	6	7	12/09/97	Van Bokkelen <i>et</i> al.	435	91.1	06/07/95
*	М	5	7	1	2	1	3	4	01/27/98	Hadlaczky	435	172.2	01/19/95
*	N	5	7	2	1	1	1	8	02/24/98	Scheffler	435	69.1	10/29/96
*	0	5	7	3	6	3	9	2	04/07/98	Hawley-Nelson et al.	435	320.1	06/04/96
	Р	5	7	4	7	0	7	2	05/05/98	Davidson <i>et al.</i>	424	93.2	04/14/95
*	۵	5	8	3	0	4	3	0	11/03/98	Unger <i>et al</i> .	424	1.21	02/21/95
*	R	5	8	4	3	8	8	4	12/01/98	Sims	514	2	11/15/95
*	S	5	8	5	3	6	9	4	12/29/98	Engberts et al.	424	1.21	07/24/96
*	Т	5	8	6	9	2	9	4	02/09/99	Harrington <i>et al</i> .	435	91.1	09/20/96
*	U	5	8	9	1	6	9	1	04/06/99	Hadlaczky	435	172.3	10/21/96
*	V	5	9	4	4	7	1	0	08/31/99	Dev <i>et al.</i>	604	500	06/24/96
*	W	5	9	6	2	2	6	5	10/05/99	Norris et al.	435	69.1	12/15/98

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24601-416C	SERIAL NO. 10/086,745		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.			
STATEMENT	FILING DATE February 28, 2002	GROUP 1636		

#### **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	Ref. No.	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
*	х	5	9	6	5	3	9	6	10/12/99	Pan <i>et al.</i>	435	69.1	01/13/98
*	Y	5	9	7	6	7	9	6	11/02/99	Szalay et al.	435	6	12/23/96
*	Z	5	9	7	6	8	4	9	11/02/99	Hustad <i>et al.</i>	435	183	04/30/98
*	AA	5	9	8	5	5	7	7	11/16/99	Bulinski	435	6	10/14/98
*	AB	5	9	9	3	4	3	4	11/30/99	Dev et al.	604	501	09/29/95
*	AC	6	0	2	5	1	5	5	02/15/00	Hadlaczky <i>et al.</i>	435	69.1	08/07/96
*	AD	6	0	2	7	4	8	8	02/22/00	Hofmann <i>et al.</i>	604	522	06/03/98
*	AE	6	0	3	4	2	2	8	03/07/00	Norris <i>et al.</i>	536	23.1	06/25/99
*	AF	6	0	3	7	1	3	3	03/14/00	Li	435	7.21	04/17/98
	AG	6	0	5	1	4	2	9	04/18/00	Hawley-Nelson et al.	435	458	03/14/97
	АН	6	0	5	4	3	1	2	04/25/00	Larocca et al.	435	320.1	08/29/97
*	Al	6	0	7	4	6	6	7	06/13/00	Kinnunen et al.	424	450	05/21/98
*	AJ	6	0	7	7	6	9	7	06/20/00	Hadlaczky <i>et al.</i>	435	172.3	07/15/96
*	AK	6	1	8	4	0	3	7	02/06/01	Rolland <i>et al.</i>	435	455	05/02/97

## FOREIGN PATENT DOCUMENTS

	*Ref No.		DOCUMENT NUMBER							COUNTRY	CLASS	SUB CLASS	Translation Yes N	n No
	AL	0	0	2	7	7	9	5	05/18/00	PCT				
	AM	0	0	5	2	1	8	6	09/08/00	PCT				
*	AN	0	7	5	5	9	2	4	01/29/97	EP A1				
•	AO	0	7	5	5	9	2	4	06/09/99	EP B1				
•	AP	9	7	4	0	1	8	3	10/30/97	РСТ				
*	AQ	9	8	0	8	9	6	4	03/05/98	РСТ				
*	ΑR	9	9	2	1	5	8	4	05/06/99	РСТ				

## **EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 10/086,745				
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong <i>et al.</i>				
STATEMENT	FILING DATE February 28, 2002	GROUP 1636			

of Mammalian Artificial Chromosomes"; http://www.chromos.com/feb399.htm  1999 Press Releases: "Chromos Molecular Systems Reports <i>In Vitro</i> and <i>In Vis</i> Stability of Functional Mammalian Artificial Chromosome"; http://www.chromos.com/march1899.htm1  AU 2001 Press Releases: "Chromos Presents New Chromosome-Based Platform Technology for Gene Therapy (ASGT)"; http://www.chromos.com/June%204.htm  AV 2001 Press Releases: "Chromos Announces Publication of Rapid Screening Te in the Journal Cytometry"; http://www.chromos.com/June%207.htm1  AW 2001 Press Releases: "Chromos Initates Research into Plant Artificia Chromoshttp://www.chromos.com/Jan23.htm1  AX 2001 Press Releases: "Chromos Announces Expression of Therapeutic Protein Transgenic Animal Carrying an Artificial Chromosome"; http://www.chromos.com/nov8.htm1  AY 2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Chromosome"; http://www.chromos.com/march28.htm1  AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Put of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, BC Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphoge Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", <i>Nature</i> , 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Arbetween Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre			
* AU 2001 Press Releases: "Chromos Presents New Chromosome-Based Platform Technology for Gene Therapy (ASGT)"; http://www.chromos.com/June%204.html  * AU 2001 Press Releases: "Chromos Presents New Chromosome-Based Platform Technology for Gene Therapy (ASGT)"; http://www.chromos.com/June%204.html  * AV 2001 Press Releases: "Chromos Announces Publication of Rapid Screening Tein the Journal Cytometry"; http://www.chromos.com/June%207.html  * AW 2001 Press Releases: "Chromos Initates Research into Plant Artificia Chromosohttp://www.chromos.com/Jan23.html  * AX 2001 Press Releases: "Chromos Announces Expression of Therapeutic Protein Transgenic Animal Carrying an Artificial Chromosome"; http://www.chromos.com/nov8.html  * AY 2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Chromosome"; http://www.chromos.com/march28.html  * AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Pub of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.html  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, BC Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar Detween Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stin	*	AS	1999 Press Releases: "Chromos Molecular Systems Demonstrates Large-Scale Isolation of Mammalian Artificial Chromosomes"; http://www.chromos.com/feb399.htm1
Technology for Gene Therapy (ASGT)"; http://www.chromos.com/June%204.htm  AV 2001 Press Releases: "Chromos Announces Publication of Rapid Screening Tein the Journal Cytometry"; http://www.chromos.com/June%207.htm1  AW 2001 Press Releases: "Chromos Initates Research into Plant Artificia Chromos http://www.chromos.com/Jan23.htm1  AX 2001 Press Releases: "Chromos Announces Expression of Therapeutic Protein Transgenic Animal Carrying an Artificial Chromosome"; http://www.chromos.com/nov8.htm1  AY 2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Chromosome"; http://www.chromos.com/march28.htm1  AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Put of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, Bt Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphog Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stin	*	АТ	
in the Journal Cytometry"; http://www.chromos.com/June%207.htm1  AW 2001 Press Releases: "Chromos Initates Research into Plant Artificia Chromos.http://www.chromos.com/Jan23.htm1  AX 2001 Press Releases: "Chromos Announces Expression of Therapeutic Protein Transgenic Animal Carrying an Artificial Chromosome"; http://www.chromos.com/nov8.htm1  AY 2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Chromosome"; http://www.chromos.com/march28.htm1  AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Put of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, Bt Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphogy Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	*	AU	2001 Press Releases: "Chromos Presents New Chromosome-Based Platform Technology for Gene Therapy (ASGT)"; http://www.chromos.com/June%204.htm1
http://www.chromos.com/Jan23.htm1  * AX 2001 Press Releases: "Chromos Announces Expression of Therapeutic Protein Transgenic Animal Carrying an Artificial Chromosome"; http://www.chromos.com/nov8.htm1  * AY 2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Chromosome"; http://www.chromos.com/march28.htm1  * AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Put of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, Bt Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphogy Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	*	AV	2001 Press Releases: "Chromos Announces Publication of Rapid Screening Technique in the Journal Cytometry"; http://www.chromos.com/June%207.htm1
Transgenic Animal Carrying an Artificial Chromosome"; http://www.chromos.com/nov8.htm1  * AY 2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Chromosome"; http://www.chromos.com/march28.htm1  * AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Put of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, BC Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphoge Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	*	AW	2001 Press Releases: "Chromos Initates Research into Plant Artificia Chromosomes"; http://www.chromos.com/Jan23.htm1
Chromosome"; http://www.chromos.com/march28.htm1  * AZ 2001 Press Releases: "Chromos and Biological Research Center Announce Put of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, BC Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphoga Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	*	AX	
of Human Artificial Chromosome Methodology in the Journal of Cell Science"; http://www.chromos.com/sept12.htm1  BA 2002 Press Release: "Chromos Announces Agreement With Lonza Biologics Pl System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, BC Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult De Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphogon Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Arbetween Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	*	AY	2001 Press Releases: "Chromos Reports Data on Transgenic Animals Carrying Artificial Chromosome"; http://www.chromos.com/march28.htm1
System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, Both Canada, http://www.chromos.com/june10.html (09/12/02)  BB 2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult Destem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)  BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphogon Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Arbetween Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expression, Collagenase Production, and Granulocyte Macrophage Colony-stimes."	*	AZ	
BC 2002 Press Release: "Chromos Enters Collaboration Agreement with Morphogon Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Arbetween Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expression Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim		ВА	2002 Press Release: "Chromos Announces Agreement With Lonza Biologics PLC: ACE System to be Evaluated by Leading Contract Protein Manufacturer" Burnaby, BC, Canada, http://www.chromos.com/june10.html (09/12/02)
Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)  * BD Alton et al., "Nucleotide sequence analysis of the chloramphenicol resistance tr Tn9", Nature, 282:864-869 (1979)  BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim		ВВ	2002 Press Release: "Chromos Reports Progress In Gene Delivery To Adult Derived Stem Cells" Burnaby, BC, Canada, http://www.chromos.com/june6.html (09/12/02)
BE Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Ar between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	<u>.</u>	ВС	2002 Press Release: "Chromos Enters Collaboration Agreement with Morphogen Pharmaceuticals" Burnaby, BC, Canada, http://www.chromos.com/april24.html (09/12/02)
between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expre Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stim	*	BD	Alton <i>et al.</i> , "Nucleotide sequence analysis of the chloramphenicol resistance transposon Tn <i>9</i> ", <i>Nature</i> , <u>282</u> :864-869 (1979)
Factor Production by Rheumatoid Arthritis Synoviocytes", <u>86</u> :1790-1798 (1996)		BE	Alvaro-Gracia et al., "Cytokines in Chronic Inflammatory Arthritis. V. Mutual Antagonism between Interferon-Gamma and Tumor Necrosis Factor-Alpha on HLA-DR Expression, Proliferation, Collagenase Production, and Granulocyte Macrophage Colony-stimulating Factor Production by Rheumatoid Arthritis Synoviocytes", 86:1790-1798 (1990)

EXAMINER	DATE CONSIDERED
-, ., ., ., ., .	DATE CONCIDENED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24601-416C	SERIAL NO. 10/086,745	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong <i>et al.</i>		
STATEMENT	FILING DATE February 28, 2002	GROUP 1636	

*	BF	Anderson et al., "Flow Cytometry of Mitotic Cells", Experimental Cell Res., 238:498-502 (1998)
	BG	ATCC (American Type Culture Collection) Number CCL-39
-	ВН	ATCC (American Type Culture Collection) Number CCL-1.3
	ВІ	ATCC (American Type Culture Collection) Number CCL-110
	BJ	ATCC (American Type Culture Collection) Number CRL-1573
-	ВК	ATCC (American Type Culture Collection) Number CCL-1.4
	BL	ATCC (American Type Culture Collection) Number CRL-2056
	ВМ	ATCC (American Type Culture Collection) Number CRL-1769
*	BN	Audouy et al., "Serum as a modulator of lipoplex-mediated gene transfection: dependence of amphiphile, cell type and complex stability", J. Gene Med., 2:465-476 (2000)
	ВО	Audouy and Hoekstra, "Cationic lipid-mediated transfection in vitro and in vivo (Review), Molecular Membrane Biology, 18:129-143 (2001)
	ВР	Aupperle <i>et al.</i> , "NF-kB Regulation by lkB Kinase in Primary Fibroblast-Like Synoviocytes", <i>The Journal of Immunology</i> , <u>163</u> :427-433 (1999)
*	BQ	Baldwin <i>et al.</i> , "Cloning of the Luciferase Structural Genes from <i>Vibrio harveyi</i> and Expression of Bioluminescence in <i>Escherichia coli</i> ", <i>Biochem.</i> , <u>23</u> :3663-3667 (1984)
*	BR	Bao et al., "Transfection Of A Reporter Plasmid Into Cultured Cells By Sonoporation In Vitro", Ultrasound in Med. & Biol., 23/6:953-959 (1997)
*	BS	Basic Protocol, Book: <u>Current Protocols in Molecular Biology</u> , Supplement 14, "Calcium Phosphate Transfection", Section 1, Unit 9.1.1 - 9.1.9 (1990)
	ВТ	Bendele et al., "Efficacy of Sustained Blood Levels of Interleukin-1 Receptor Antagonist in Animal Models of Arthritis", Arthritis and Rheumatism, 42(3):498-506 (1999)
*	BU	Caldwell et al. "Activation of Genes with Ultrasound," Ultrasound Contrast Research Symposium San Diego, CA February 6-8, 1998
*	BV	Co et al., "Generation of transgenic mice and germline transmission of a mammalian artificial chromosome introduced into embryos by pronuclear microinjection", Chromosome Res., 8:183-191 (2000)

#### **EXAMINER**

# DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 10/086,745			
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.			
STATEMENT	FILING DATE February 28, 2002	GROUP 1636		

	BW	Corrigall et al., "Functional IL-2 Receptor β (CD122) and γ (CD132) Chains Are Expressed by Fibroblast-Like Synoviocytes: Activation by IL-2 Stimulates Monocyte Chemoattractant Protein-1 Production", <i>The Journal of Immunology</i> , 166:4141-4147 (2001)
*	вх	Csonka et al., "Novel generation of human satellite DNA-based artificial chromosomes in mammalian cells", J. of Cell Sci., 113:3207-3216 (2000)
*	BY	de Wet <i>et al.</i> , "Firefly Luciferase Gene: Structure and Expression in Mammalian Cells", <i>Molecular and Cellular Biol.</i> , 7/2:725-737 (1987)
	BZ	de Jong <i>et al.</i> , "Efficient <i>in-vitro</i> transfer of a 60-Mb mammalian artificial chromosome into murine and hamster cells using cationic lipids and dendrimers," <i>Chromosome Res.</i> , 9(6):475-485 (2001)
*	CA	de Jong <i>et al.</i> , "Mammalian Artificial Chromosome Pilot Production Facility: Large-Scale Isolation of Functional Satellite DNA-Based Artificial Chromosomes", <i>Cytometry</i> , 35:129-133 (1999)
*	СВ	de Jong <i>et al.</i> , Abstract No. 550: "In Vitro Transfer of a 60 Mb Mammalian Artificial Chromosome into Primary Cell Lines Using Cationic Lipids an a Dendrimer", one page
*	СС	de Jonge <i>et al.</i> , "Chromosome and DNA-Mediated Gene Transfer in Cultured Mammalian Cells", <i>International Review of Cytology</i> , <u>92</u> :133-158 (1984)
	CD	Del Vecchio <i>et al.</i> , "Approaches to enhancing the retroviral transduction of human synoviocytes", <i>Arthritis Research</i> , <u>3</u> :259-263 (2001)
*	CE	Durand et al., "Sequencing Radiation and Adriamycin Exposures in Spheroids to Maximize Therapeutic Gain", I. J. Radiation Oncol. Biol. Phys., 17/2:345-350 (1989)
*	CF	Durand <i>et al.</i> , "Response of Cell Subpopulations in Spheroids to Radiation-Drug Combinations", <i>NCI Monogr.</i> , <u>6</u> :95-100 (1988)
*	CG	Durand <i>et al.</i> , "Schedule Dependence for Cisplatin and Etoposide Multifraction Treatments of Spheroids", <i>J. Natl. Cancer Inst.</i> , <u>82/23</u> :1841-1845 (1990)
	СН	ECACC (European Collection of Cell Cultures) No: 85110503, Cell Line Name: NSO, mouse myeloma
	CI	Edwards, J.C.W., "Fibroblast biology: Development and differentiation of synovial fibroblasts in arthritis", <i>Arthritis Research</i> , 2(5):344-347 (2000)
	CJ	Edwards, J.C.W. "Synovial intimal fibroblasts," Ann. Rheum. Dis., 54:395-397 (1995)

<b>EXAMINER</b>			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24601-416C	SERIAL NO. 10/086,745	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong <i>et al</i> .		
STATEMENT	FILING DATE February 28, 2002	GROUP 1636	

		, , , , , , , , , , , , , , , , , , , ,
*	СК	Engebrecht <i>et al.</i> , "Identification of genes and gene products necessary for bacterial bioluminescence", <i>Proc. Natl. Acad. Sci. USA</i> , <u>81</u> :4154-4158 (1984)
	CL	Evans <i>et al.</i> , "Clinical Trial to Assess the Safety, Feasibility, and Efficacy of Transferring a Potentially Anti-Arthritic Cytokine Gene to Human Joints with Rheumatoid Arthritis," <i>Human Gen. Ther.</i> , 7:1261-1280 (1996)
	СМ	Firestein, G.S., "Invasive Fibroblast-Like Synoviocytes in Rheumatoid Arthritis," <i>Arthritis Rheum.</i> , 39:1781-1790 (1996)
*	CN	Fritz et al., Abstract: "Ultrasound Enhancement of Gene Expression, Newly Discovered Cationic Lipids, and a New Class of Gene Delivery Agents", ImaRx Pharmaceutical Corp. Announces Important Discoveries in Emerging Field of Drug Delivery Using Acoustically Active Carriers, ImaRx Pharmaceutical Corp., pages 1-2 (2001)
	со	Gerlag et al., "The Effect of a T Cell-Specific NF-kB Inhibitor on In vitro Cytokine Production and Collagen-Induced Arthritis," J. Immunol., 165:1652-1658 (2000)
	СР	Gerlag et al., "Supression of murine collagen-induced arthritis by targeted apoptosis of synovial neovasculature", Arthritis Research, 3(6):357-361 (2001)
	ca	Goater et al., "Empirical Advantages of Adeno Associated Viral Vectors for in Vivo Gene Therapy for Arthritis," J. Rheumatol., 27(4):983-989 (2000)
	CR	Graham et al., "A New Technique for the Assay of Infectivity of Human Adenovirus 5 DNA", Virol., 52:456-467 (1973)
*	cs	Gratzner et al., "An Immunofluorescence Method for Monitoring DNA Synthesis by Flow Cytometry", Cytometry, 1/6:385-389 (1981)
*	СТ	Haas et al., "Codon usage limitation in the expression of HIV-1 envelope glycoprotein", Current Biol., 6/3:315-324 (1996)
*	сυ	Hall et al., "Expression and Regulation of Escherichia coli lacZ Gene Fusions in Mammalian Cells", J. Molecular and Applied Genetics, 2:101-109 (1983)
	CV	Han et al., "AP-1 and NF-xB Regulation in Rheumatoid Arthritis and Murine Collagen-Induced Arthritis," <i>Autoimmunity</i> , 28:197-208 (1998)
*	cw	Hoekstra <i>et al.</i> , "Lipid trafficking and sorting: how cholesterol is filling gaps", <i>Current Opinion in Cell Biol.</i> , <u>12</u> :496-502 (2000)
*	сх	Hoekstra et al., "Membrane flow, lipid sorting and cell polarity in HepG2 cells, role of a subapical compartment", Biochem. Soc. Trans., 27/4:422-428 (1999)

# EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24601-416C	SERIAL NO. 10/086,745
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.	
STATEMENT	FILING DATE February 28, 2002	GROUP 1636

*	CY	Hoffman <i>et al.</i> , "Lipochromosome Mediated Gene Transfer: Identification And Probable Specificity Of Localization Of Human chromosomal Material And Stability Of The Transferents", <i>In Vitro</i> , <u>17/8</u> :735-740 (1981)
*	CZ	Hollo et al., "Evidence for a megareplicon covering megabases of centromeric chromosome segments", Chromo. Res., 4:240-247 (1996)
*	DA	Huang et al., "Intervening sequences increase efficiency of RNA 3' processing and accumulation of cytoplasmic RNA", <i>Nucleic Acids Res.</i> , 18/4:937-947 (1990)
*	DB	Huber et al., "A Comparison Of Shock Wave And Sinusoidal-Focused Ultrasound = Induced Localized Transfection Of HeLa Cells", Ultrasound to Med. & Biol., 25/9:1451-1457 (1999)
*	DC	Ishihara et al., "Effects of phospholipid adsorption on nonthrombogenicity of polymer with phospholipid polar group", <i>J. Biomed. Materials Res.</i> , 27:1309-1314 (1993)
*	DD	Jackson <i>et al.</i> , "The novel mechanism of initiation of picornavirus RNA translation", <i>TIBS</i> , <u>15</u> :477-483 (1990)
*	DE	Jang et al., "A Segment of the 5' Nontranslated Region of Encephalomyocarditis Virus RNA Directs Internal Entry of Ribosomes during In Vitro Translation", J. Virol., 62/8:2636-2643 (1988)
*	DF	Jellinek et al., "Potent 2'-Amino-2'-deoxypyrimidine RNA Inhibitors of Basic Fibroblast Growth Factor", Biochem., 34:11363-11372 (1995)
*	DG	Kereso <i>et al.</i> , "De novo chromosome formations by large-scale amplification of the centromeric region of mouse chromosomes", <i>Chromosome Res.</i> , <u>4</u> :226-239 (1996)
*	DH	Kim <i>et al.</i> , "Ultrasound-Mediated Transfection of Mammalian Cells", <i>Human Gene Therapy</i> , 7:1339-1346 (1996)
	DI	Kong et al., "Activated T cells regulate bone loss and joint destruction in adjuvant arthritis through osteoprotegerin ligand', <i>Nature</i> , 402:304-309 (1999)
*	DJ	Lamb <i>et al.</i> , "Introduction and expression on the 400 kilobase precursor amyloid protein gene in transgenic mice", <i>Nature Genetics</i> , <u>5</u> :22-29 (1993)
	DK	Li et al., "NF-κB Regulates VCAM-1 Expression on Fibroblast-Like Synoviocytes", <i>The Journal of Immunology</i> , <u>164</u> :5990-5997 (2000)
*	DL	Lin et al., "Modified RNA sequence pools for in vitro selection", Nucleic Acids Res., 22/24:5229-5234 (1994)

## **EXAMINER**

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 10/086,745	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.	
STATEMENT	FILING DATE February 28, 2002	GROUP 1636

*	DM	Maier et al., "Membrane domains and polarized trafficking of sphingolipids", Cell &
		Develop. Biol., <u>12</u> :149-161 (2001)
*	DN	Miller et al., "Sonoporation Of Cultured Cells In The Rotating Tube Exposure System", Ultrasound in Med. & Biol., <u>25/1</u> :143-149 (1999)
*	DO	Mukherjee et al., "Entrapment of metaphase chromosomes into phospholipid vesicles (lipochromosomes): Carrier potential in gene transfer", <i>Proc. Natl. Acad. Sci. USA</i> , 75/3:1361-1365 (1978)
	DP	NCBI Nucleotide, X82564. M.musculus 45S pr[gi:1261918], "Mapping of replication initiation sites in the mouse ribosomal gene cluster"
*	DΩ	Noguchi et al., "Measurement of DNA Synthesis by Flow Cytometry", Cytometry, 1/6:390-393 (1981)
*	DR	Oberle <i>et al.</i> , "Lipoplex Formation under equilibrium Conditions Reveals a Three-Step Mechanism", <i>Biophys. J.</i> , <u>79</u> :1447-1454 (2000)
*	DS	Olive et al., "Increase in the fraction of necrotic, not apoptotic, cells in SiHa xenograft tumours shortly after irradiation", Radiotherapy & Oncology, 50:113-119 (1999)
*	DT	Olive et al., "Resistance to DNA Denaturation in Irradiated Chinese Hamster V79 Fibroblasts Is Linked to Cell Shape", Experimental Cell Res., 193:339-345 (1991)
*	DU	Pagratis <i>et al.</i> , "Potent 2'-amino-, and 2'-fluoro-2'-deoxyribonucleotide RNA inhibitors of keratinocyte growth factor", <i>Nature Biotechnol.</i> , <u>15</u> :68-73 (1997)
*	DV	Pecheur et al., "Protein-induced Fusion Can Be Modulated by Target Membrane Lipids through a Structural Switch at the Level of the Fusion Peptide", <i>J. Biol. Chem.</i> , 275/6:3936-3942 (2000)
*	DW	Perez et al., "Satellite DNA-based artificial chromosomes - chromosomal vectors", TIBTECH, 18:402-403 (2000)
*	DX	Pittman et al., "A simple and rapid immunological technique for visualising chromosome-mediated gene transfer (CMGT)", <i>J. Immunol. Methods</i> , 103:87-92 (1987)
*	DY	Ropp et al., "Aequorea Green Fluorescent Protein Analysis by Flow Cytometry", Cytometry, 21:309-317 (1995)
*	DZ	Sambrook et al., Book: "Molecular Cloning", A Laboratory Manual, Second Ed., Cold Spring Harbor Laboratory Press (1989)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. 24601-416C	SERIAL NO. 10/086,745
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.	
STATEMENT	FILING DATE February 28, 2002	GROUP 1636

	OTH	HER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
*	EA	Shi et al., "Efficient cationic lipid-mediated delivery of antisense oligonucleotides into eukaryotic cells: down-regulation of the corticotropin-releasing factor receptor", <i>Nucleic Acids Res.</i> , 29/10:2079-2087 (2001)
	ЕВ	Shi et al., "Interference of poly(ethylene glycol)-lipid analogues with cationic-lipid-mediated delivery of oligonucleotides; role of lipid exchangeability and non-lamellar transitions," Biochem. J., 366(Pt 1):333-341 (2002)
*	EC	Song et al., "Cell surface expression of MHC molecules in glioma cells infected with herpes simplex virus type-1", J. Neurolmmunol., 93:1-7 (1999)
	ED	Stewart <i>et al.</i> , "Retrofitting of a satellite repeat DNA-based murine artifical chromosome (ACes) to contain loxP recombination sites", <i>Gene Therapy</i> , 9:719-723 (2002)
	EE	Su <i>et al.</i> , "Testing the eukaryotic promoters for efficient expression of exogenous genes in chondrocytes and synoviocytes", <i>FEBS Letters</i> , 395;179-182 (1996)
	EF	Tak <i>et al.</i> , "The effects of interferon beta treatment on arthritis", <i>Rheumatology</i> , 38:362-369 (1999)
*	EG	Takeuchi et al., "Enhanced Visualization of Intravascular and Left Atrial Appendage Thrombus with the Use of a Thrombus-Targeting Ultrasonographic Contrast Agent (MRX-408A1): In Vivo Experimental Echocardiographic Studies", J. Am. Soc. Echocardiogr., 12/12:1015-1021 (1999)
*	EH	Telenius <i>et al.</i> , "Stability of a functional murine satellite DNA-based artificial chromosome across mammalian species", <i>Chromosome Res.</i> , 7:3-7 (1999)
*	EI	Toh et al., "Isolation and characterization of a rat liver alkaline phosphatase gene. A single gene with two promoters", FEBS, pages 231-237 (1989)
*	EJ	Unger <i>et al.</i> , Book: "Liposomes as myocardial perfusion ultrasound contrast agents", <u>Ultrasound contrast Agents</u> , Chapter 6, pages 57-74 (1997)
*	EK	Unger <i>et al.</i> , Book: 16th International Congress on Acoustics and 135th Meeting Acoustical Society of America, "Gas-Filled Liposomes as Ultrasound Contrast Agents for Blood Pool, Thrombus-Specific and Therapeutic Applications", 3:2179-2180 (1998)
*	EL	Unger et al., "Ultrasound Enhances Gene Expression of Liposomal Transfection", <i>Invest. Radiol.</i> , 32:723-727 (1997)
*	EM	Unger <i>et al.</i> , Abstract: "Assessment of Myocardial Infarct with a New Ultrasound Contrast Agent", <i>RSNA</i> , Chicago, II #1177, page 419 (1997)

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)	ATTY. DOCKET NO. SERIAL NO. 10/086,745		
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE	APPLICANT De Jong et al.		
STATEMENT	FILING DATE February 28, 2002	GROUP 1636	

*	EN	Unger et al., Abstract: "Ultrasound-Mediated Drug Delivery with Acoustically Active Carriers and SonoPorationTM and FluoroGeneTM Gene Delivery", <a <i="" a="" amphiphiles="" as="" by="" carrier="" cells="" dna="" href="Image: Image: Im&lt;/th&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;*&lt;/td&gt;&lt;td&gt;EO&lt;/td&gt;&lt;td colspan=4&gt;van der Woude &lt;i&gt;et al.&lt;/i&gt;, " influencing="" into="" introduction="" of="" parameters="" plasmid="" synthetic="" system",="" the="" use="">BBA Biochimica et Biophysica Acta, 1240:34-40 (1995)</a>
*	EP	van der Woude <i>et al.</i> , "Novel pyridinium surfactants for efficient, nontoxic <i>in vitro</i> gene delivery", <i>Proc. Natl. Acad. Sci. USA</i> , <u>94</u> :1160-1165 (1997)
*	EQ	van Leeuwen <i>et al.</i> , "Transfection of Small Numbers of Human Endothelial Cells by Electroporation and Synthetic Amphiphiles", <i>Eur. J. Vase Endovasc. Surg.</i> , <u>17</u> :9-14 1999)
*	ER	Vanderbyl et al., "A Flow Cytometry Technique for Measuring Chromosome-Mediated Gene Transfer", Cytometry, 44:100-105 (2001)
	ES	Vervoordeldonk and Tak, "Gene therap in rheumatic diseases", <i>Best Practice &amp; Research Clinical Rheumatology</i> , <u>15(5)</u> :771-788 (2001)
*	ET	Wigler et al., "DNA-mediated transfer of the adenine phosphoribosyltransferase locus into mammalian cells", <i>Proc. Natl. Acad. Sci. USA</i> , 76/3:1373-1376 (1979)
*	EU	Wong et al., "Sequence organization and cytological localization of the minor satellite of mouse", Nucleic Acids Res., 16:11645-11661 (1988)
*	EV	Wyber et al., "The Use of Sonication of r the Efficient Delivery of Plasmid DNA into Cells", Pharmaceutical Res., 14/6:750-756 (1997)
*	EW	Yang et al., "Optimized codon usage and chromophore mutations provide enhanced sensitivity with the green fluorescent protein", <i>Nucleic Acids Res.</i> , 24/22:4592-4593 (1996)

-				IER
- x	4	w	ш	

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.